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Film-forming silicone emulsion composition.

- A film-forming silicone emulsion composition which forms a film exhibiting a good adhesion to various substrates, and having a good water repellency and good release properties, comprising the following components which are mixed and dispersed:
  - (A) a silicone emulsion comprising:
    - (a) a specific organopolysiloxane containing at least one amino group or epoxy group per molecule;
    - (b) an emulsifying agent; and
    - (c) water; and
  - (B) a specific hydrolyzable silane containing at least one functional group per molecule.

# FIELD OF THE INVENTION

The present invention relates to a film-forming silicone emulsion composition which forms a film exhibiting an excellent adhesion to a rubber, a plastic, a cloth, etc., and having a good gloss, a good water repellency, good release properties, and good slip properties.

### BACKGROUND OF THE INVENTION

Heretofore, a silicone emulsion has been used as a releasing agent, a polishing agent or a fiber treating agent for domestic articles, bags, cloth, vinyl products for ship or automobile, sports goods, etc. However, the conventional silicone emulsion is disadvantageous in that it has an insufficient durability, so that it must be re-applied. As silicone emulsions having a sufficient durability there have been known emulsions of amino-functional silicone (see JP-A-60-127382 (The term "JP-A" as used herein means an "unexamined published Japanese patent application"), JP-A-62-116633, JP-A-63-66265). However, the application of these emulsions is all limited to cloth material having a good adhesiveness and permeability or material requiring little adhesiveness. Accordingly, silicone emulsions having a good durability and a good adhesion to materials such as rubber and plastic as well have been desired.

It has been known to use a mixture of aminosiloxane and epoxysiloxane (see JP-B-48-17514 (The term "JP-B" as used herein means an "examined Japanese patent publication")) or a reaction product of aminosiloxane with epoxysilane (JP-B-1-22390) as a fiber treating agent. However, further improvements in the adhesion and durability of such a fiber treating agent have been desired.

Further, it has been known to use a reaction product or mixture of epoxysiloxane and aminosilane as a surface treating agent for rubber, plastic, etc. (see JP-A-52-123394, JP-A-54-43891, JP-A-54-90375, JP-A-56-78960, JP-A-61-159427, JP-A-2-233763). However, the compositions disclosed in these references are normally in the form of solution in an organic solvent and are not embodied in the form of emulsion. If a composition made of such a solution in an organic solvent is used, it causes environmental hygiene or safety problems such as ignition as well as denaturation of a substrate such as a rubber and a plastic. Moreover, it is difficult to obtain an emulsion composition having good properties by merely replacing such a composition by an emulsion.

# SUMMARY OF THE INVENTION

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Accordingly, an object of the present invention is to provide a film-forming silicone emulsion composition which forms a film exhibiting a good adhesion to various materials, and having a good water repellency, good release properties and good slip properties.

As a result of extensive studies to accomplish the above-described object, it has been found that by adding to an emulsion of an organopolysiloxane containing amino group or epoxy group, a silane containing epoxy group or amino group, and optionally an emulsion of an organopolysiloxane containing hydroxyl group or hydrolyzable group, an emulsion composition which exhibits an excellent adhesion to materials such as fiber, rubber, plastic and the like, and forms on the surface thereof a film having a good non-tackiness, a good release property, a good lustering property, a good water repellency, a good abrasion resistance and good slip properties can be obtained. Thus, the present invention has been completed based on this finding.

The film-forming silicone emulsion composition of the present invention comprises the following components which are mixed and dispersed:

(A) a silicone emulsion comprising:

(a) an organopolysiloxane represented by the following general formula:

$$R^{1}aR^{2}bR^{3}cSiO$$
  $\frac{4-(a\cdot b\cdot c)}{2}$ 

wherein R1's each represents the same or different  $C_{1-20}$ monovalent hydrocarbon group or hydrogen atom; R2's each represents a hydroxyl group or a hydrolyzable group; R3's each represents an aminocontaining group or an epoxy-containing group; and a, b and c satisfy the relationships of 0 < a < 3,  $0 \le b < 2$ , and  $0 < c \le 1$ , respectively, containing at least one amino group or epoxy group per molecule;

- (b) an emulsifying agent; and
- . (c) water;

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- (B) a hydrolyzable silane containing at least one functional group per molecule wherein said functional group is an amino-containing group if R<sup>3</sup> in the component (A) is an epoxy-containing group, or an epoxy-containing group if R<sup>3</sup> is an amino-containing group; and optionally
- (C) a silicone emulsion comprising:
  - (d) an organopolysiloxane represented by the following general formula:

$$R^4_d R^5_e SiO_{\frac{4-(d+e)}{2}}$$

wherein  $R^4$ 's each represents the same or different  $C_{1-20}$  monovalent hydrocarbon group or hydrogen atom;  $R^5$ 's each represents a hydroxyl group or a hydrolyzable group; and d and e satisfy the relationships of 0 < d < 3, 0 < e < 2, and 1.9 < d + e < 2.2, containing at least one hydroxyl group or hydrolyzable group per molecule;

- (e) an emulsifying agent; and
- (f) water.

# DETAILED DESCRIPTION OF THE INVENTION

The present invention is described in detail below.

The organopolysiloxane as the component (a) in the component (A) is one containing at least one amino group or epoxy group per molecule and forms a silicone emulsion together with the emulsifying agent as the component (b) and water as the component (c).

In the general formula which represents the component (a), R1's each represents the same or different  $C_{1-20}$  monovalent hydrocarbon group or hydrogen atom. Specific examples of the  $C_{1-20}$  monovalent hydrocarbon group include an alkyl group such as methyl group, ethyl group, propyl group or butyl group; an alkenyl group such as vinyl group or propenyl group; an aryl group such as phenyl group; and an aralkyl group such as  $\beta$ -phenylethyl group. Preferred among these R1's are hydrogen atom, methyl group, vinyl group, and phenyl group, which can provide the composition having excellent properties. Particularly preferred among these hydrocarbon groups is methyl group.

R2's each represents a hydroxyl group or a hydrolyzable group. Examples of the hydrolyzable group include an alkoxy group such as methoxy group, ethoxy group, propoxy group or butoxy group, an acyloxy group, an amino group, an aminoxy group, an oxime group, a ketoxime group, an amide group, and an alkenyloxy group. Preferred among these are a hydroxyl group, and an alkoxy group, particularly hydroxyl group and methoxy group, because of their handleability.

 $R^3$ 's each represents an amino-containing group or an epoxy-containing group. Examples of the amino-containing group include aminomethyl group,  $\beta$ -aminoethyl group,  $\gamma$ -aminopropyl group,  $\delta$ -aminobutyl group,  $\gamma$ -(methylamino)propyl group,  $\gamma$ -(ethylamino)propyl group,  $\gamma$ -( $\beta$ -aminoethylamino)propyl group, and salts obtained by entirely or partially converting amino groups of these amino-containing groups to quaternary ammonium. From the standpoint of stability during storage, an amino-containing group having amino group connected to silicon atom via at least three carbon atoms, such as  $\gamma$ -aminopropyl group, is preferred. An example of the epoxy-containing group is a hydrocarbon group substituted by glycidoxy group or epoxycyclohexyl group. The hydrocarbon group to be substituted preferably has 1 to 10 carbon atoms, particularly 2 to 4 carbon atoms.  $R^3$  is preferably an amino-containing group if it is desired to provide a composition with a good adhesion to a substrate. Alternatively,  $R^3$  is preferably an epoxycontaining group if it is desired to provide a composition with good coating properties to a substrate, particularly rubber or plastic, and good release properties.  $R^3$ 's are preferably all one of amino-containing group and epoxy-containing group, rather than a mixture of amino-containing group and epoxy-containing group.

In the above-described general formula, a, b and c each represents a number which satisfies the relationships of 0 < a < 3,  $0 \le b < 2$ , and  $0 < c \le 1$ , preferably 1.0 < a < 2,  $0 \le b < 0.5$ ,  $0.1 \le c \le 0.5$ , and 1.9 < a + b + c < 2.2. If the hydroxyl group or hydrolyzable group represented by R² is present in excess, i.e., b is 2 or more, the cured film obtained is disadvantageously hard and brittle.

The organopolysiloxane used as the component (a) is preferably one having a molecular weight of 500 to 500,000, more preferably 1,000 to 100,000.

The organopolysiloxane as the component (a) can be easily synthesized in one stage by polymerizing a cyclic polysiloxane monomer such as octamethylcyclotetrasiloxane and water in the presence of an emulsifying agent, and an aminofunctional silane or epoxyfunctional silane using an acid or base catalyst.

The organopolysiloxane can also be obtained by polymerizing a cyclic polysiloxane monomer in the presence of water and a polymerization catalyst, adding an aminofunctional silane or epoxyfunctional silane to the reaction system, and then further conducting the polymerization reaction. Further, the organopolysiloxane can be obtained by equilibrating a polysiloxane oil with an aminofunctional silane or epoxyfunctional silane in the presence of a catalyst.

The emulsifying agent used as the component (b) in the present invention serves to emulsify the organopolysiloxane as the component (a) and hence prepare a stable homogeneous silicone emulsion.

Examples of the emulsifying agent include nonionic surface active agents, anionic surface active agents, and cationic surface active agents. If the organopolysiloxane as the component (a) is an aminocontaining group, a nonionic surface active agent or cationic surface active agent is preferably used as the emulsifying agent. In particular, a nonionic surface active agent is preferably used regardless of R<sup>3</sup>.

Examples of the nonionic surface active agent include polyoxyalkylene alkyl ether, polyoxyalkylene alkyl phenol, polyoxyalkylene alkyl ester, polyoxyalkylene sorbitan ester, polyethylene glycol, polypropylene glycol and ethylene oxide adducts of diethylene glycol trimethyl nonanol.

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Examples of the anionic surface active agent include an alkylbenzenesulfonic acid such as hexylbenzenesulfonic acid, octylbenzenesulfonic acid, decylbenzenesulfonic acid, dodecylbenzenesulfonic acid, cetylbenzenesulfonic acid, decylbenzenesulfonic acid, dodecylbenzenesulfonic acid, cetylbenzenesulfonic acid a sulfuric acid ester of polyoxyethylene monoal-kyl ether such as  $CH_3(CH_2)_5 CH_2 O(C_2H_4 O)_2 SO_3H$ ,  $CH_3(CH_2)_8 CH_2 O(C_2H_4 O)_2 SO_3H$ ,  $CH_3(CH_2)_8 CH_2 O(C_2H_4 O)_2 SO_3H$  or  $CH_3(CH_2)_8 CH_2 C_6 H_4 O(C_2H_4 O)_2 SO_3H$ ; and alkylnaphthylsulfonic acid.

Examples of the cationic surface active agent include quaternary ammonium hydroxides such as octyltrimethylammonium hydroxide, dodecyltrimethylammonium hydroxide, hexadecyltrimethylammonium hydroxide, decyldimethylbenzylammonium hydroxide, dioctadecyldimethylammonium hydroxide, beef tallow trimethylammonium hydroxide or palm oil trimethylammonium hydroxide, and salts thereof.

The emulsifying agent (b) is used in an amount of 5 to 100 parts by weight, preferably 10 to 50 parts by weight, per 100 parts by weight of the organopolysiloxane as the component (a), thereby obtaining a good emulsified state.

Water used as the component (c) in the present invention serves as a dispersant where the organopolysiloxane (a) is dispersed with the emulsifying agent (b). Water as the component (c) is not specifically limited so far as it is water. The amount of water used is 50 to 500 parts by weight, preferably 100 to 300 parts by weight, per 100 parts by weight of the organopolysiloxane as the component (a), thereby obtaining a good emulsified state.

The silicone emulsion as the component (A) of the present invention can be obtained by emulsifying the organopolysiloxane (a) in water (c) with the emulsifying agent (b).

If the component (a) is synthesized in the form of emulsion as in emulsion polymerization, an emulsifying agent or water may be optionally added to the component (a) to prepare the composition (A). In particular, an emulsion obtained by emulsion polymerization of a cyclic siloxane monomer comprises grains having a small diameter and exhibits a good stability. Thus, the resulting composition exhibits excellent properties. Therefore, such an emulsion is preferably used in the present invention. Emulsification can be conducted with an emulsion dispersion apparatus such as colloid mill, homomixer, homogenizer, combinix or sand grinder.

The component (B) of the present invention is a hydrolyzable silane containing at least one functional group per molecule, and imparts a good adhesion to the composition of the present invention. The functional group is an amino-containing group or an epoxy-containing group. If R³ in the organopolysiloxane as the component (a) is an amino-containing group, the functional group is an epoxy-containing group. On the contrary, if R³ is an epoxy-containing group, the functional group is an amino-containing group. Examples of the amino-containing group and epoxy-containing group as the functional group in the component (B) include those described with reference to R³. Similarly, preferred examples of the functional group include those described with reference to R³. The component (B) is also a hydrolyzable silane containing hydrolyzable group. Examples of the hydrolyzable group include the same hydrolyzable group as represented by R² in the component (a). It may partially contain hydroxyl group. Preferred among these hydrolyzable groups is an alkoxy group, particularly methoxy group and ethoxy group.

Specific examples of the hydrolyzable silane as the component (B) include an epoxy-containing alkoxysilane such as  $\gamma$ -glycidoxypropyltrimethoxysilane,  $\gamma$ -glycidoxypropyltriethoxysilane,  $\beta$ -(3,4-epoxycyclohexyl)-ethyltrimethoxysilane,  $\beta$ -(3,4-epoxycyclohexyl)-

ethylmethyldimethoxysilane,  $\beta$ -(3,4-epoxycyclohexyl)ethyltriethoxysilane or  $\beta$ -(3,4-epoxycyclohexyl) ethylmethyldiethoxysilane; an amino-containing alkoxysilane such as  $\gamma$ -aminopropyltriethoxysilane,  $\gamma$ -aminopropylmethyldiethoxysilane,  $\gamma$ -(N-( $\beta$ -aminoethyl)aminomethyltrimethoxysilane,  $\gamma$ -(N-( $\beta$ -aminoethyl) amino]propyltrimethoxysilane,  $\gamma$ -(N-( $\beta$ -aminoethyl) amino]propyltrimethoxysilane,  $\gamma$ -(N-( $\beta$ -aminoethyl)amino)ethyl)amino]propyltrimethoxysilane; and the like.

Preferred among these hydrolyzable silanes is one containing as a hydrolyzable group a dialkoxy group or a trialkoxy group, particularly trimethoxy group, which can provide a film having a good adhesion and a good durability.

The component (B) is used in an amount of 5 to 300 parts by weight, preferably 10 to 200 parts by weight, per 100 parts by weight of the organopolysiloxane as the component (a). If the amount of the component (B) is less than 5 parts by weight, sufficient adhesion cannot be obtained. If the amount of the component (B) exceeds 300 parts by weight, the resulting film is hard and brittle and thus exhibits insufficient durability.

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The component (B) is blended with the silicone emulsion as the component (A) as it is, but may be blended with the silicone emulsion in the form of mixture with a proper emulsifying agent to improve its dispersibility and stability in the emulsion. Examples of the emulsifying agent include those described with reference to the component (b).

By mixing and dispersing the component (A) and the component (B), a silicone emulsion composition which exhibits an excellent adhesion to rubber, plastic, cloth and the like, and forms a film having a good gloss, a good water repellency and good release properties can be obtained. When it is used as a surface treating agent for weatherstrip in automobile, etc., the silicone emulsion composition is required to exhibit better slip properties.

As a result of further investigations, it was found that addition of an emulsion of an organopolysiloxane containing hydroxyl group or hydrolyzable group as the component (C) is effective to obtain good slip properties.

The organopolysiloxane as the component (d) in the component (C) is an amino- and epoxy-free organopolysiloxane containing at least one hydroxyl group or hydrolyzable group per molecule. It forms a silicone emulsion with the emulsifying agent as the component (e) and water as the component (f).

In the general formula representing the component (d),  $R^4$ 's each represents the same or different  $C_{1-20}$  monovalent hydrocarbon group or hydrogen atom. Specific examples of the monovalent hydrocarbon group include those described with reference to  $R^1$ . Preferred among these are hydrogen atom, methyl group, vinyl group and phenyl group, particularly methyl group, thereby providing a composition with good properties.

R<sup>5</sup>'s each represents hydroxyl group or a hydrolyzable group. Examples of the hydrolyzable group include those described with reference to R<sup>2</sup>. Preferred among these groups are hydroxyl group and an alkoxy group, particularly hydroxyl group and methoxy group, because of their handleability.

In the above-described general formula, d and e each represents a number which satisfies the relationships of 0 < d < 3, 0 < e < 2, and 1.9 < d + e < 2.2, preferably 1.0 < d < 2.0, 0 < e < 0.5, and 1.9 < d + e < 2.1. If e is 0, the curability of the resulting film is insufficient. On the contrary, if e is 2 or more, the resulting cured film is hard and brittle and thus does not exhibit good slip properties. In order to obtain good slip properties or good abrasion resistance, d and e need to satisfy the relationship of 1.9 < d + e < 2.2.

The organopolysiloxane as the component (d) is preferably one having a molecular weight of 500 to 500,000, more preferably 1,000 to 100,000.

In general, the component (d) is preferably used in an amount of 5 to 200 parts by weight, particularly 20 to 100 parts by weight, per 100 parts by weight of the organopolysiloxane as the component (a). This is because the amount of the component (d) is preferably 5 parts by weight or more to obtain good slip properties and abrasion resistance while it is preferably 200 parts by weight to prevent decrease of the adhesion to a substrate.

Examples of the emulsifying agent used as the component (e) in the present invention include those described with reference to the component (b). Preferred among these emulsifying agents are nonionic surface active agents and cationic surface active agents.

The emulsifying agent (e) is used in an amount of 5 to 100 parts by weight, preferably 10 to 50 parts by weight, per 100 parts by weight of the organopolysiloxane as the component (d), similarly to the component (b).

Water used as the component (f) in the present invention is used in an amount of 50 to 100 parts by weight, preferably 100 to 300 parts by weight, per 100 parts by weight of the organopolysiloxane as the

component (d), similarly to the component (c).

The silicone emulsion as the component (C) of the present invention can be obtained in the same manner as the component (A). A silicone emulsion obtained by emulsion polymerization can be preferably used as well.

The emulsion composition of the present invention can be obtained by mixing the component (A) and the silicone emulsion (C), which is optionally blended therein, with the hydrolyzable silane as the component (B), and dispersing those. It has heretofore been known to use a reaction product of epoxy silane and amino silane as a surface treating agent. In the present invention, the component (A) and the component (B) are not allowed to undergo reaction but are mixed and dispersed. For example, the use of a reaction product of epoxy group and amino group cannot attain the effects of the present invention.

The composition of the present invention may be further diluted with water for easy coating before use in the treatment of various substrates. In general, the composition of the present invention is preferably diluted such that the silicone content is 5 to 60% by weight, particularly 10 to 50% by weight.

The composition of the present invention may further comprise a powder of an inorganic substance such as fumed silica, precipitated silica, ground quartz or diatomaceous earth, or a spherical or amorphous powder of an organic substance such as acryl resin, styrene resin, ethylene resin, nylon resin, benzoguanamine resin, melanine resin, polytetrafluoroethylene resin or silicone resin (e.g., polymethylsilsesquioxane) for the purpose of reinforcement, matte effect, enhancement of slip properties, etc.

In particular, for the purpose of enhancement of slip properties, a powder having an average particle diameter of 0.01 to 100 µm, particularly 0.05 to 20 µm is preferably used. Preferred among the above-described resins are fine powders of nylon resin, polytetrafluoroethylene resin and silicone resin. Spherical shape is preferred as the particle shape. In particular, a spherical silicone resin fine powder is preferred. The amount of the powder blended is preferably 0.1 to 200 parts by weight, particularly 5 to 50 parts by weight, per 100 parts by weight of the organopolysiloxane as the component (a). The powder may be blended with the silicone emulsion as the component (A) as it is. It may be blended with the silicone emulsion in the form of mixture with a proper emulsifying agent to improve its dispersibility and stability in the emulsion. Examples of the emulsifying agent include those described with reference to the component (b).

In order to further enhance the slip properties of the composition, an emulsion of an unsubstituted polydimethylsiloxane may be added to the composition. In particular, an emulsion of a siloxane having a viscosity as high as 1,000 to 100,000 cps is preferred. Further, various additives such as dye and pigment for coloring, or ultraviolet absorbent or ultraviolet screener for preventing deterioration by ultraviolet rays may be blended with the composition so far as the properties of the composition of the present invention are not impaired.

The emulsion composition of the present invention may further comprise a curing catalyst which is used in the condensation cure reaction of silicone. Examples of the curing catalyst include aliphatic acid metal salts, amines, and ammoniums. These compounds may be used in combination. Examples of the metal salts of aliphatic acids include those having organic group directly connected to metal atom, such as dibutyltin diacetate, dibutyltin dioctate, dibutyltin dilaurate, dibutyltin dioleate, dibutyltin distearate, tributyltin acetate, tributyltin octoate, tributyltin laurate, dioctyltin diacetate, dioctyltin dilaurate, diethyltin dioleate or monomethyltin dioleate, and those having no organic group directly connected to metal atom, such as zinc octenate, iron octenate or tin octenate. Examples of the amines include organic amines such as monomethylamine, dimethylamine, monoethylamine, diethylamine, ethylenediamine or hexamethyltetraamine, and salts thereof. Examples of the ammoniums include tetramethylammonium, dimethylbenzylammonium, and salts thereof. The emulsion composition of the present invention has a good curability even if it is free of curing catalyst. The emulsion composition of the present invention also forms a cured film having a good adhesion to various substrates and a good durability. However, the adhesion to a substrate and the durability may vary depending on the kind of the substrate, the kind of a filler contained in the substrate and the surface conditions of the substrate. Further, the desired adhesion and durability depend on the purpose of the substrate thus treated. The emulsion composition of the present invention preferably further comprises a curing catalyst if good adhesion and durability are particularly desired. The amount of the curing catalyst added is preferably 0.1 to 10 parts by weight, particularly 0.5 to 5 parts by weight, per 100 parts by weight of the organopolysiloxane as the component (a). On the other hand, if the emulsion composition is required to have a long pot life, it is preferably free of the above-described curing catalyst.

The curing catalyst is blended with the silicone emulsion as the component (A) as it is, but may be added to the silicone emulsion in the form of mixture with a proper emulsifying agent. Examples of the emulsifying agent include those described with reference to the component (b).

The emulsion composition of the present invention may further comprise an emulsifying agent to improve its applicability to a substrate in addition to the emulsifying agent as the component (b), the emulsifying agent as the component (e), and the emulsifying agent which is optionally mixed with the component (B). Such the emulsifying agent may be blended similarly with the other components during the preparation of the emulsion composition of the present invention. Examples of the emulsifying agent include those described with reference to the component (b). Preferred among these emulsifying agents are nonionic surface active agents. The emulsion composition of the present invention exhibits a good coatability even if it is free of emulsifying agent. Nevertheless, if the substrate to be treated exhibits a high water repellency, the emulsion composition of the present invention preferably comprises an additional emulsifying agent as described above to maintain a good coatability against the variation of the concentration and coated amount of the composition and change of the coating apparatus.

The amount of the additional emulsifying agent to be blended is preferably 5 to 50 parts by weight, particularly 10 to 30 parts by weight, per 100 parts by weight of the organopolysiloxane as the component (a).

The treatment of the substrate with the composition of the present invention is conducted such that the composition is coated on various substrates such as a paper, a rubber, a cloth, a plastic, a metal or a ceramic by a method such as dip coat, spray coat, brush coat, knife coat or roll coat, water is dry removed, and the coating is allowed to stand at room temperature for from 10 nimutes to several hours or slightly heated according to the type of the substrate, to cure the coating.

As compared with the conventional silicone emulsion composition, the silicone emulsion composition of the present invention provides a cured film having a good adhesion to various substrates to be treated and a good durability. In particular, the silicone emulsion composition of the present invention can provide a cured film having a good adhesion to rubber, plastic and other substrates to which the conventional silicone emulsion cannot provide a sufficient adhesion. The silicone emulsion composition of the present invention is characterized in that it can be fairly applied to various substrates and provide a cured film having a good appearance, good release properties, a good water repellency, good slip properties and a good abrasion resistance.

The silicone emulsion composition of the present invention can be used for the purpose of protecting or lustering the surface of automobile parts, furniture and building materials or providing these materials with water repellency or slip properties. Further, the silicone emulsion composition of the present invention can be used for the purpose of surface treatment of fiber, paper, film or metal foil or lustering shoes or bags. In particular, the silicone emulsion composition of the present invention is most preferred to impart slip properties to rubbers such as automobile weatherstrip.

A weatherstrip treated by the composition of the present invention exhibits good slip properties and thus causes no abnormal noise when doors are opened or closed or upon low speed running. Further, such a weatherstrip does not adhere to glass or coated plate or does not adhere each other. Moreover, such a weatherstrip does not adhere to other materials due to freezing at low temperatures.

The present invention will be further described in the following examples, but the present invention should not be construed as being limited thereto. The term "parts" and "%" as used hereinafter indicate "parts by weight" and "% by weight", respectively. The viscosity is determined at a temperature of 25 °C.

# SYNTHESIS EXAMPLE 1

600 Parts of water, 50 parts of octadecyldimethylammonium chloride and 4 parts of KOH were charged into a reaction vessel. These components were then thoroughly mixed. The mixture was then mixed with 400 parts of octamethylcyclotetrasiloxane. The mixture was then passed through a colloid mill having mills positioned at an interval of 10 mil to prepare an emulsion. The emulsion was then heated to a temperature of 90 °C for 4 hours to conduct polymerization. With the polymerization product was then mixed a solution of 4 parts of octadecyldimethylammonium chloride in 30 parts of  $\gamma$ -[N-( $\beta$ -aminoethyl)-amino]propyltrimethoxysilane, and polymerization reaction was continued at a temperature of 50 °C for 2 hours. Acetic acid was then added to the reaction mixture to neutralize the catalyst. Thus, a silicone emulsion A was obtained. The silicone content in the emulsion was about 40%.

# **SYNTHESIS EXAMPLE 2**

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800 Parts of water, 70 parts of dihexadecylmethylammonium chloride and 500 parts of octamethylcyclotetrasiloxane were charged into a reaction vessel. These components were then thoroughly mixed. With the mixture was then mixed 3 parts of KOH. The mixture was then emulsified in the same manner as

in Synthesis Example 1. The emulsion was then heated to a temperature of 95 °C for 4 hours to conduct polymerization. With the polymerization product was then mixed a solution of 7 parts of dihexadecylmethylammonium chloride in 30 parts of  $\gamma$ -[N-( $\beta$ -aminoethyl)amino]propyltrimethoxysilane and 30 parts of  $\gamma$ -[N-( $\beta$ -aminoethyl)amino]propylmethyldimethoxysilane. The polymerization reaction was continued at a temperature of 50 °C for 2 hours. Acetic acid was then added to the reaction mixture to neutralize the catalyst. Thus, a silicone emulsion B was obtained. The silicone content in the emulsion was about 40%.

### SYNTHESIS EXAMPLE 3

600 Parts of water, 50 parts of octadecyldimethylammonium chloride and 4 parts of KOH were charged into a reaction vessel. These components were then thoroughly mixed. With the mixture was then mixed 400 parts of octamethylcyclotetrasiloxane and 30 parts of  $\gamma$ -[N-( $\beta$ -aminoethyl)amino] propylmethyldimethoxysilane. The mixture was then emulsified in the same manner as in Synthesis Example 1. The emulsion was then heated to a temperature of 90 °C for 4 hours to conduct polymerization. Acetic acid was then added to the reaction mixture to neutralize the catalyst. Thus, a silicone emulsion C was obtained. The silicone content in the emulsion was about 40%.

### SYNTHESIS EXAMPLE 4

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1,000 Parts of octamethylcyclotetrasiloxane, 20 parts of hexamethyldisiloxane and 6 parts of potassium silanolate were charged into a reaction vessel. These components were thoroughly mixed. The mixture was then heated to a temperature of 165 °C with stirring for 2 hours to conduct polymerization and equilibration. polymerization product were then added 150 parts of  $\gamma$ -[N-( $\beta$ -aminoethyl)amino]propyltrimethoxysilane. The reaction mixture was then heated to a temperature of 165 °C with stirring for 6 hours to conduct polymerization and equilibration. The mixture was then cooled. With the mixture were then mixed 40 parts of water with stirring so that the remaining methoxy group was thoroughly hydrolyzed. To the reaction system were then added 0.5 parts of KOH. The reaction mixture was then subjected to equilibration at a temperature of 160 °C until distillation of methanol was completed. Acetic acid was then added to the reaction mixture to neutralize the catalyst. The reaction mixture was then subjected to stripping at 5 mmHg and 200 °C for 30 minutes to obtain an amino-containing polysiloxane. The polysiloxane thus obtained showed a viscosity of 150 cps.

100 Parts of the polysiloxane, 30 parts of dihexadecylmethylammonium chloride, and 120 parts of water were mixed. The mixture was then emulsified in the same manner as in Example 1 to obtain a silicone emulsion D. The silicone content in the emulsion was 40%.

# SYNTHESIS EXAMPLE 5

600 Parts of water, 50 parts of octadecyldimethylammonium chloride and 4 parts of KOH were charged into a reaction vessel. These components were then thoroughly mixed. The mixture was then mixed with 400 parts of octamethylcyclotetrasiloxane. The mixture was then passed through a colloid mill having mills positioned at an interval of 10 mil to prepare an emulsion. The emulsion was then heated to a temperature of  $90^{\circ}$ C for 4 hours to conduct polymerization. With the polymerization product was then mixed a solution of 4 parts of octadecyldimethylammonium chloride in 30 parts of  $\gamma$ -glycidoxypropyltrimethoxysilane, and the polymerization reaction was continued at a temperature of  $50^{\circ}$ C for 2 hours. Acetic acid was then added to the reaction mixture to neutralize the catalyst. Thus, a silicone emulsion E was obtained. The silicone content in the emulsion was about 40%.

### SYNTHESIS EXAMPLE 6

800 Parts of water, 70 parts of dihexadecylmethylammonium chloride and 500 parts of octamethyl-cyclotetrasiloxane were charged into a reaction vessel. These components were then thoroughly mixed. With the mixture was then mixed 3 parts of KOH. The mixture was then emulsified in the same manner as in Synthesis Example 1. The emulsion was then heated to a temperature of 95 °C for 4 hours to conduct polymerization. With the polymerization product was then mixed a solution of 7 parts of dihexadecylmethylammonium chloride in 30 parts of  $\gamma$ -glycidoxypropyltrimethoxysilane and 30 parts of  $\gamma$ -glycidoxypropylmethyldimethoxysilane, and the polymerization reaction was continued at a temperature of 50 °C for 2 hours. Acetic acid was then added to the reaction mixture to neutralize the catalyst. Thus, a silicone emulsion F was obtained. The silicone content in the emulsion was 40%.

# SYNTHESIS EXAMPLE 7

600 Parts of water, 50 parts of octadecyldimethylammonium chloride and 4 parts of KOH were charged into a reaction vessel. These components were then thoroughly mixed. With the mixture was then mixed 400 parts of octamethylcyclotetrasiloxane and 30 parts of  $\gamma$ -glycidoxypropyltrimethoxysilane. The mixture was then emulsified in the same manner as in Synthesis Example 1. The emulsion was then heated to a temperature of 90 °C for 4 hours to conduct polymerization. Acetic acid was then added to the reaction mixture to neutralize the catalyst. Thus, a silicone emulsion G was obtained. The silicone content in the emulsion was 40%.

# SYNTHESIS EXAMPLE 8

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100 Parts of an epoxy-containing polysiloxane represented by the following average-formula:

20 parts of dihexadecylmethylammonium chloride, 10 parts of polyoxyethylene(9)nonylphenylether, and 120 parts of water were mixed. The mixture was then emulsified in the same manner as in Synthesis Example 1 to obtain a silicone emulsion H. The silicone content in the emulsion was 40%.

# SYNTHESIS EXAMPLE 9

600 Parts of water and 6 parts of dodecylbenzenesulfonic acid were charged into a reaction vessel. These components were then thoroughly mixed. With the mixture was then mixed 400 parts of octamethyl-cyclotetrasiloxane. The mixture was then emulsified in the same manner as in Synthesis Example 1. The emulsion was then heated to a temperature of  $90 \, ^{\circ}$ C for 4 hours to conduct polymerization. With the polymerization product was then mixed a solution of 4 parts of polyoxyethylene(9)nonylphenylether in 30 parts of  $\gamma$ -glycidoxypropyltrimethoxysilane, and the polymerization reaction was continued at a temperature of  $50 \, ^{\circ}$ C for 1.5 hours. An aqueous solution of sodium hydrogencarbonate was then added to the reaction mixture to neutralize the catalyst to obtain a silicone emulsion 1. The silicone content in the emulsion was about 40%.

### SYNTHESIS EXAMPLE 10

600 Parts of water, 50 parts of octadecyldimethylammonium chloride and 4 parts of KOH were charged into a reaction vessel. These components were then thoroughly mixed. With the mixture was then mixed 400 parts of octamethylcyclotetrasiloxane and 10 parts of methyltrimethoxysilane. The mixture was then emulsified in the same manner as in Synthesis Example 1. The emulsion was then heated to a temperature of 90 °C for 4 hours to conduct polymerization. Acetic acid was then added to the reaction mixture to neutralize the catalyst to obtain a silicone emulsion J. The silicone content in the emulsion was 40%. The average molecular weight of the silicone was 15,000.

### SYNTHESIS EXAMPLE 11

700 Parts of water and 50 parts of dodecylbenzenesulfonic acid were charged into a reaction vessel. These components were then thoroughly mixed. With the mixture was then mixed 400 parts of octamethyl-cyclotetrasiloxane. The mixture was then emulsified in the same manner as in Synthesis Example 1. The emulsion was then heated to a temperature of 90 °C for 4 hours to conduct polymerization. Triethanolamine was then added to the reaction mixture to neutralize the catalyst to obtain a silicone emulsion K. The silicone content in the emulsion was 30%. The average molecular weight of the silicone was 20,000.

# SYNTHESIS EXAMPLE 12

100 Parts of polydimethylsiloxane having an average molecular weight of 50,000 terminated by dimethylhydrosilyl groups at both ends, 30 parts of polyoxyethylene(9)nonylphenylether and 120 parts of water were mixed. The mixture was then emulsified in the same manner as in Synthesis Example 1 to obtain a silicone emulsion L. The silicone content in the emulsion was 40%.

### EXAMPLE 1

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100 Parts of the silicone emulsion A were mixed with 30 parts of  $\gamma$ -glycidoxypropyltrimethoxysilane and 100 parts of water with stirring to prepare an emulsion composition of the present invention. The composition was then dip-coated onto a nylon cloth as a specimen, and then heated to a temperature of 110 °C for 5 minutes to cure the same. The coating specimen was allowed to stand at room temperature for 1 day. The properties of the coating cloth were then evaluated as described below. The results obtained are shown in Table 1.

Appearance: Comparison of gloss of the specimen was made between before and after coating. The criteria of evaluation were as follows:

- 1 Good gloss
- 2 Slight gloss
- 3 No gloss

Adhesion: The coating specimen was vigorously rubbed. The adhesion of the specimen was then evaluated from the surface condition thereof as follows:

- No falling-off, good adhesion
- 2 Slight falling-off or fog
- 3 Falling-off

Water repellency: Waterdrops were dropped on the specimen and then allowed to stand for 3 minutes. Water repellency was then evaluated from the condition of waterdrops as follows.

- Drewdrops are formed, showing a good water repellency
- 2 Drewdrops are slightly spread, but showing a good water repellency
- 3 Drewdrops are spread, showing a poor water repellency

Release properties: A commercially available adhesive tape having a width of 12 mm (available from Nichiban Co., Ltd.) was applied to the specimen. The specimen was then allowed to stand under a load of 1 kg/cm² for 1 day. A force required to peel off the tape in the direction of 180° was autographically measured. The release properties were then evaluated in accordance with the following criteria:

- 1 The peel force is 10 g/12 mm or less, showing good release properties
- 2 The peel force is from 10 to 50 g/12 mm
- 3 The peel force is as much as more than 50 g/12 mm

# **COMPARATIVE EXAMPLE 1**

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An emulsion composition was prepared in the same manner as in Example 1 except that  $\gamma$ -glycidoxypropyltrimethoxysilane was not blended. The emulsion composition thus obtained was then evaluated in the same manner as in Example 1. The results obtained are shown in Table 1.

Table 1

Example 1 Comparative Example 1

Appearance 1 1 1

Adhesion 1 3

Water repellency 1 1

Release properties 1 1

# **EXAMPLE 2**

The emulsion composition prepared in Example 1 was brush-coated on an EPDM foamed rubber sheet, and then heated to a temperature of 150 °C for 2 minutes to cure the same. The coating specimen was then allowed to stand at room temperature for 1 day. The properties of the coating rubber were evaluated in the same manner as in Example 1. The stress developed when the coating rubber was horizontally moved 10 cm over a glass plate under a load of 50 g was autographically measured. The dynamic coefficient of friction was determined from the average stress value. The results obtained are shown in Table 2.

# 10 COMPARATIVE EXAMPLE 2

The emulsion composition of Comparative Example 1 was evaluated in the same manner as in Example 2. The results obtained are shown in Table 3.

# 5 EXAMPLES 3 TO 10

Emulsion compositions having the formulations shown in Tables 2 and 3 were prepared. These emulsion compositions were then evaluated in the same manner as in Example 2. The results obtained are shown in Tables 2 and 3.

# **COMPARATIVE EXAMPLE 3**

100 Parts of the silicone emulsion A and 30 parts of  $\gamma$ -glycidoxypropyltrimethoxysilane were heated to a temperature of 80 °C with stirring to conduct reaction for 3 hours. To the reaction mixture were then added 100 parts of water with stirring to prepare an emulsion composition. The emulsion composition was then evaluated in the same manner as in Example 2. The results obtained are shown in Table 3.

# **COMPARATIVE EXAMPLE 4**

40 Parts of the amino-containing polysiloxane obtained in Synthesis Example 4, 30 parts of γ-glycidoxypropyltrimethoxysilane, 150 parts of water, and 10 parts of octadecyldimethylammonium chloride were mixed with stirring. The mixture was then emulsified with a colloid mill in the same manner as in Synthesis Example 4 to prepare an emulsion composition. The emulsion composition thus obtained was then evaluated in the same manner as in Example 2. The results obtained are shown in Table 3.

### **COMPARATIVE EXAMPLE 5**

An emulsion composition was prepared in the same manner as in Comparative Example 4 except that the epoxy-containing polysiloxane as used in Synthesis Example 8 was used instead of  $\gamma$ -glycidoxypropyl-trimethoxysilane. The emulsion composition thus obtained was then evaluated in the same manner as in Example 2. The results obtained are shown in Table 3.

### COMPARATIVE EXAMPLE 6

An emulsion composition was prepared in the same manner as in Comparative Example 4 except that 40 parts of the epoxy-containing polysiloxane as used in Synthesis Example 8, 30 parts of γ-aminopropyl-triethoxysilane, 150 parts of water, and 10 parts of octadecyldimethylammonium chloride were used. The emulsion composition thus obtained was then evaluated in the same manner as in Example 2. The results obtained are shown in Table 3.

# Table 2

5		•			Example						
3					_2_	_3_	4_	_5_	_6_		8_
	Silicone	Component	Amino-	A	100						
	emulsion	(A)	contain- ing	В		100					
10			•	С			100				
				D		•		100			
			Epoxy- contain- ing	Ε					100		
15				F						100	
				G							100
			·	H						-	
20		•	٠	I							• 3.
	Silane		-Glycidoxypropyltri- ethoxysilane		30	50	10				
25		β-(3,4-Epox hexyl)ethyl methoxysila	ltri-					30			
		γ-Aminoprop ethoxysilar							30	30	30
30		γ-[N-(β-ami amino]propy methoxysila	/ltri-								
35	Water				100	300	200	100	100	100	100
•	Proper-	Appearance			1	1	1	2	1	1	1
	ties	Adhesion		1	.1	1	1	1	1	1	
40		Water repel	lency		1	1	1	1	1	1	1
		Release pro	operties		1	1	• 1	1	1	1	1
		Dynamic coefriction	efficient	of	1.46	1.50	1.35	1.41	1.43	1.40	1.42

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# Table 3

5				Example			Comparative Example					
			·		9	10		3	4	_5_	<u>· 6</u>	
	Silicone	Component	Amino-	Α			100	100				
	emulsion	(A)	Tug	В								
10				С								
•				D								
*	••		Epoxy-	E						·		
15			Tild	F								
				G								
		-		H	100							
20		· <u>.</u>	•	I		100						
	Silane	γ-Glycidoxy methoxysila	propyltri ne	-			-	30	30			
25		β-(3,4-Epox hexyl)ethyl methoxysila	tri-				•					
		γ-Aminoprop ethoxysilan									30	
3 <b>0</b>		γ-[N-(β-ami amino]propy methoxysilo	ltri-		. 10	10						
35		Amino-conta polysiloxan (Synthesis	e	)					40	40		
<i>3</i> 5		Epoxy-conta polysiloxan (Synthesis	e	)						30	40	
40	Octadecylochloride	limethylammor	nium	•	•				10	10	10	
	Water				200	200	100	100	150	150	150	
45 ·	Proper-	Appearance			2	1	1	2	2	2	2	
	ties	Adhesion	,		1	1	3	2	2	3	2	
		Water repellency			1	1	1	1	1	1	1	
		Release pro	perties		1	1	1	1	2	1	2	
50		Dynamic coeffiction	fficient o	£	1.38	1.36	1.28	1.48	1.43	1.24	1.40	

# **EXAMPLE 11**

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100 Parts of the silicone emulsion A, 50 parts of the silicone emulsion J, 30 parts of  $\gamma$ -glycidoxypropyl-trimethoxysilane and 100 parts of water were mixed with stirring to prepare an emulsion composition. The emulsion composition thus obtained was then evaluated in the same manner as in Example 2. The results

obtained are shown in Table 4.

# EXAMPLES 12 TO 15

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An emulsion composition having the formulations shown in Table 4 was prepared in the same manner as in Example 11. The emulsion composition thus obtained was then evaluated in the same manner as in Example 2. The results obtained are shown in Table 4.

### Table 4

		·=			·		Exampl	Example			
					_11_	_12_	13	14	15		
15	Silicone emulsion	Component (A·)	Amino- containing	A	100						
				В		100					
				С					• •		
20		4		D			100		+ 5,		
			containing	E				100			
				F					100		
25				G				•			
				Н							
				I							
30		Component (C)		J	50			80			
				K		50					
				L			50		30		
35	Silane	γ-Glycidoxy methoxysila			30	50					
		β-(3,4-Epox ethyltrimet	ycyclohexyl hoxysilane	) –			30				
40		γ-Aminoprop silane	yltriethoxy	-				30	30		
	Water				100	300	100	100	100		
	Properties	Appearance			1	1	2	1	1		
45		Adhesion			1	1	1	1	1		
		Water repel	lency		1	1	1	1	1		
		Release pro	perties		1	1	1	1	1.		
50		Dynamic coe	fficient of		1.21	1.25	1.15	1.08	1.19		

# 55 EXAMPLE 16

To 100 parts of the silicone emulsion A were added 30 parts of  $\gamma$ -glycidoxypropyltrimethoxysilane, 1.0 part of dibutyltin dilaurate, and 100 parts of water with stirring to prepare an emulsion composition. The

emulsion composition thus obtained was then evaluated in the same manner as in Example 2. The coatability of the emulsion composition was evaluated in the following manner:

Coatability: The appearance of the specimen was visually evaluated as follows:

- 1 Uniform coating appearance
- 2 Slight coat repellency, partial uneven coating
- 3 Coat repellency, entire uneven coating

The results obtained are shown in Table 5.

### EXAMPLES 17 TO 19

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An emulsion composition having the formulations shown in Table 5 was prepared in the same manner as in Example 16. The emulsion composition thus obtained was then evaluated in the same manner as in Example 16. The results obtained are shown in Table 5.

Specimens having the same formulations as used in Examples 16 to 19 were prepared in the same manner as in Example 2 except that the composition was spray-coated on the substrate instead of brush-coating in the evaluation of the coatability. All the specimens showed a uniform coating appearance.

Table 5

_		-				nple		
5					16	17	18	19
	Silicone	Component (A)		A	100	100	100	100
	emulsion		containing	В				
10			•	C				
		-		D	•			
			Epoxy-	E			•	
15	•		containing	F				
				G				
				H				• •
20		÷		I				• 5.
		Component (C)		J				50
				K				
25				L				
	Silane	γ-Glycidoxypro silane	pyltrimethox	y-	30	30	30	30
30		β-(3,4-Epoxycy ethyltrimethox						
		γ-Aminopropylt	riethoxysila	ne				
	Additive	Dibutyltin dil	aurate		1.0			1.0
35		Silicone rein	powder *1)			20		20
		Polyoxyethylen nonylphenyleth					30	30
40	Water			100	100	100	100	
~	Properties	Appearance			1	3	1	3
		Adhesion			1	1	1	1
46	٠	Water repellen	су		1	1	1	1
45		Release proper	ties		1	1	1	1
		Dynamic coeffi friction	cient of		1.50	0.95	1.46	0.75
50	Coatability				2	2	. 1	1

<sup>\*1:</sup> True spherical polymethylsilsesquioxane particles having an average particle diameter of 2.0 μm.

While the invention has been described in detail and with reference to specific embodiments thereof, it will be apparent to one skilled in the art that various changes and modifications can be made therein without departing from the spirit and scope thereof.

### 5 Claims

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- 1. A film-forming silicone emulsion composition comprising the following components which are mixed and dispersed:
  - (A) a silicone emulsion comprising:
    - (a) an organopolysiloxane represented by the following general formula:

wherein R¹'s each represents the same or different  $C_{1-20}$  monovalent hydrocarbon group or hydrogen atom; R²'s each represents a hydroxyl group or a hydrolyzable group; R³'s each represents an amino-containing group or an epoxy-containing group; and a, b and c satisfy the relationships of 0 < a < 3,  $0 \le b < 2$ , and  $0 < c \le 1$ , respectively, containing at least one amino group or epoxy group per molecule;

- (b) an emulsifying agent; and
- (c) water; and
- (B) a hydrolyzable silane containing at least one functional group per molecule wherein said functional group is an amino-containing group if R<sup>3</sup> in the component (A) is an epoxy-containing group, or an epoxy-containing group if R<sup>3</sup> is an amino-containing group.
- 2. A film-forming silicone emulsion composition as claimed in claim 1, which further comprises:
  - (C) a silicone emulsion comprising:
    - (d) an organopolysiloxane represented by the following general formula:

wherein R<sup>4</sup>'s each represents the same or different  $C_{1-20}$  monovalent hydrocarbon group hydrogen atom; R<sup>5</sup>'s each represents a hydroxyl group or a hydrolyzable group; and d and e satisfy the relationships of 0 < d < 3, 0 < e < 2, and 1.9 < d + e < 2.2, containing at least one hydroxyl group or hydrolyzable group per molecule;

- (e) an emulsifying agent, and
- (f) water.
- 45 3. A film-forming silicone emulsion composition as claimed in claim 1, wherein the organopolysiloxane of component (a) has a molecular weight of from 500 to 500,000.
  - 4. A film-forming silicone emulsion composition as claimed in claim 1, wherein the emulsifying agent of component (b) is nonionic surface active agents, anionic surface active agents or cationic surface active agents.
  - 5. A film-forming silicone emulsion composition as claimed in claim 1, wherein the emulsifying agent of component (b) is used in an amount of from 5 to 100 parts by weight per 100 parts by weight of component (a).
  - 6. A film-forming silicone emulsion composition as claimed in claim 1, wherein water of component (c) is used in an amount of from 50 to 500 parts by weight per 100 parts by weight of component (a).

- 7. A film-forming silicone emulsion composition as claimed in claim 1, wherein the hydrolyzable silane of component (B) is an epoxy-containing alkoxysilane or an amino-containing alkoxysilane.
- 8. A film-forming silicone emulsion composition as claimed in claim 1, wherein the hydrolyzable silane of component (B) is used in an amount of from 5 to 300 parts by weight per 100 parts by weight of component (a).
- 9. A film-forming silicone emulsion composition as claimed in claim 1, wherein the organopolysiloxane of component (d) has a molecular weight of from 500 to 500,000.
- 10. A film-forming silicone emulsion composition as claimed in claim 1, wherein the organopolysiloxane of component (d) is used in an amount of from 5 to 200 parts by weight per 100 parts by weight of component (a).
- 15. A film-forming silicone emulsion composition as claimed in claim 1, wherein the emulsifying agent of component (e) is nonionic surface active agents, anionic surface active agents or cationic surface active agents.
- 12. A film-forming silicone emulsion composition as claimed in claim 1, wherein the emulsifying agent of component (e) is used in an amount of from 5 to 100 parts by weight per 100 parts by weight of component (d).
  - 13. A film-forming silicone emulsion composition as claimed in claim 1, wherein water of component (f) is used in an amount of from 50 to 100 parts by eight per 100 parts of component (d).

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